

Reconstruction of invariants of configuration spaces of hyperbolic curves from associated Lie algebras

Koichiro Sawada

Research Institute for Mathematical Sciences, Kyoto University

Combinatorial Anabelian Geometry and Related Topics

2021/07/06

Table of contents

§1 Introduction

§2 Reconstruction algorithms

§1 Introduction

K : algebraically closed field of characteristic zero

X : hyperbolic curve/ K of type (g, r)

$X_n := \{(x_1, \dots, x_n) \in X \times_K \cdots \times_K X \mid x_i \neq x_j \ (\forall i \neq j)\}$

: n -th configuration space of X

$\Pi_n^\Sigma := \pi_1(X_n)^\Sigma$: maximal pro- Σ quotient of $\pi_1(X_n)$
 $(\Sigma = \{l\} \text{ for } \exists l \text{ or } \Sigma: \text{the set of all prime numbers})$

We shall refer to a profinite group isom to
 Π_1^Σ (for some X) as a surface group.

Theorem A (Hoshi-Minamide-Mochizuki (preprint,2017))

$\Pi_n^\Sigma \xrightarrow{\text{recon.}} n, g, r$, “generalized fiber subgroups”
if $n \geq 2$

Theorem B (S. (preprint,2018))

$\text{Gr}(\Pi_n^l) \xrightarrow{\text{recon.}} n, g, r$, “generalized fiber ideals”
if $n \geq 2$

(More precisely, there are algorithms of reconstructing
these invariants from the abstract Lie algebra over \mathbb{Z}_l
obtained by forgetting the grading of $\text{Gr}(\Pi_n^l)$.)

My research on Theorem B was motivated by [HMM].

Today, we will compare their algorithms to observe similarities (i.e., what can be applied commonly to both) and differences.

Definition (generalized projection)

$p : X_n \rightarrow X_m$ ($0 \leq m \leq n$)

is a generalized projection morphism $\stackrel{\text{def}}{\Leftrightarrow}$

- If $(g, r) \notin \{(0, 3), (1, 1)\}$, then

$p : X_n \rightarrow X_m$: projection morphism

- If $(g, r) = (0, 3)$, then $X_n \cong (\mathcal{M}_{0,n+3})_K$

$p : X_n \xrightarrow{\sim} (\mathcal{M}_{0,n+3})_K \rightarrow (\mathcal{M}_{0,m+3})_K \xrightarrow{\sim} X_m$

- If $(g, r) = (1, 1)$, then $X_n \cong E_{n+1}/E$ ($E := X^{\text{cpt}}$)

$p : X_n \xrightarrow{\sim} E_{n+1}/E \rightarrow E_{m+1}/E \xrightarrow{\sim} X_m$

Definition (generalized fiber subgroup)

A generalized projection morphism induces $\Pi_n^\Sigma \twoheadrightarrow \Pi_m^\Sigma$.

$\ker(\Pi_n^\Sigma \twoheadrightarrow \Pi_m^\Sigma)$: generalized fiber subgroup
(of co-length m)

GFS $_m(\Pi_n^\Sigma)$: the set of gen. fiber subgps of co-length m

Note: $N \in \text{GFS}_m(\Pi_n^\Sigma)$ is isomorphic to “ Π_{n-m}^Σ ” of a hyperbolic curve of type $(g, r+m)$.

Definition (Lie algebra associated to X_n)

Write $\Pi_n^l(1) := \Pi_n^l(:= \Pi_n^{\{l\}})$,

$\Pi_n^l(2) := \ker(\Pi_n^l \twoheadrightarrow (\pi_1(X^{\text{cpt}} \times_K \cdots \times_K X^{\text{cpt}})^l)^{\text{ab}})$,

$\Pi_n^l(m) := \langle \overline{[\Pi_n^l(m_1), \Pi_n^l(m_2)]} \mid m_1 + m_2 = m \rangle$ ($m \geq 3$),

$\text{Gr}^m(\Pi_n^l) := \Pi_n^l(m)/\Pi_n^l(m+1)$,

$\text{Gr}(\Pi_n^l) := \bigoplus_{m \geq 1} \text{Gr}^m(\Pi_n^l)$.

Then $\text{Gr}(\Pi_n^l)$: graded Lie algebra over \mathbb{Z}_l .

We shall refer to an abstract Lie algebra/ \mathbb{Z}_l isomorphic to $\text{Gr}(\Pi_1^l)$ as a **surface algebra**.

Definition (generalized fiber ideal)

A (generalized) projection morphism $X_n \rightarrow X_m$ induces $\text{Gr}(\Pi_n^l) \twoheadrightarrow \text{Gr}(\Pi_m^l)$.

$\ker(\text{Gr}(\Pi_n^l) \twoheadrightarrow \text{Gr}(\Pi_m^l))$: (generalized) fiber ideal
(of co-length m)

$\text{GFI}_m(\text{Gr}(\Pi_n^l))$: the set of gen. fib. ideals of co-length m

Note: $i \in \text{GFI}_m(\text{Gr}(\Pi_n^l))$ is isomorphic to “ $\text{Gr}(\Pi_{n-m}^l)$ ” of a hyperbolic curve of type $(g, r+m)$.

$\text{Gr}(\Pi_n^l)$ has a presentation with generators

$$X_i^{(k)}, Y_i^{(k)} \in \text{Gr}^1(\Pi_n^l), \quad Z_j^{(k)}, W_h^{(k)} \in \text{Gr}^2(\Pi_n^l)$$

$$(1 \leq i \leq g, \quad 1 \leq j \leq r, \quad 1 \leq k, h \leq n)$$

and relations (R1–10):

$$\sum_{i=1}^g [X_i^{(k)}, Y_i^{(k)}] + \sum_{j=1}^r Z_j^{(k)} + \sum_{h=1}^n W_h^{(k)} = 0, \quad (\text{R1})$$

$$W_k^{(k)} = 0, \quad (\text{R2})$$

$$W_h^{(k)} = W_k^{(h)}, \quad (\text{R3})$$

$$[X_i^{(k)}, X_{i'}^{(k')}] = [Y_i^{(k)}, Y_{i'}^{(k')}] = 0 \quad (k \neq k'), \quad (\text{R4})$$

$$[X_i^{(k)}, Y_{i'}^{(k')}] = 0 \quad (i \neq i', k \neq k'), \quad (\text{R5})$$

$$[X_i^{(k)}, Y_i^{(k')}] = W_k^{(k')} \quad (k \neq k'), \quad (\text{R6})$$

$$[X_i^{(k)}, Z_j^{(k')}] = [Y_i^{(k)}, Z_j^{(k')}] = 0 \quad (k \neq k'), \quad (\text{R7})$$

$$[Z_j^{(k)}, Z_{j'}^{(k')}] = 0 \quad (j \neq j', k \neq k'), \quad (\text{R8})$$

$$[X_i^{(k)}, W_h^{(k')}] = [Y_i^{(k)}, W_h^{(k')}] = [Z_j^{(k)}, W_h^{(k')}] = 0 \\ (k \notin \{k', h\}), \quad (\text{R9})$$

$$[W_h^{(k)}, W_{h'}^{(k')}] = 0 \quad (\{k, h\} \cap \{k', h'\} = \emptyset). \quad (\text{R10})$$

$$\begin{array}{c|ccccc|ccccc}
 X_1^{(1)} & \cdots & X_g^{(1)} & Y_1^{(1)} & \cdots & Y_g^{(1)} & Z_1^{(1)} & \cdots & Z_r^{(1)} & 0 & W_2^{(1)} & \cdots & W_n^{(1)} \\
 X_1^{(2)} & \cdots & X_g^{(2)} & Y_1^{(2)} & \cdots & Y_g^{(2)} & Z_1^{(2)} & \cdots & Z_r^{(2)} & W_1^{(2)} & 0 & \cdots & W_n^{(2)} \\
 \vdots & & \vdots & \vdots & & \vdots & \vdots & & \vdots & \vdots & \vdots & \ddots & \vdots \\
 X_1^{(n)} & \cdots & X_g^{(n)} & Y_1^{(n)} & \cdots & Y_g^{(n)} & Z_1^{(n)} & \cdots & Z_r^{(n)} & W_1^{(n)} & W_2^{(n)} & \cdots & 0
 \end{array}$$

§2 Reconstruction algorithms

- $\Pi_n^\Sigma \xrightarrow{\text{recon.}} n$

Proposition 1

Let $H \subset \Pi_n^l$: closed subgroup isom to $\mathbb{Z}_l^{\oplus s}$.

Then $s \leq n$.

(Proof) $n = 1$: a property of pro- l surface groups

$n \geq 2$: consider $1 \rightarrow \Pi_{n/n-1}^l \rightarrow \Pi_n^l \rightarrow \Pi_{n-1}^l \rightarrow 1$

$(\Pi_{n/n-1}^l: \text{surface group})$



Theorem 2

$\exists H \subset \Pi_n^l$: closed subgroup isom to $\mathbb{Z}_l^{\oplus n}$.

(This follows from the existence of **log-full points** of the log configuration space of a suitable stable log curve.)

Algorithm:

Take l : a prime number s.t. $(\Pi_n^\Sigma)^l \neq \{1\}$ ($\Leftrightarrow l \in \Sigma$)

$$\Pi_n^l = (\Pi_n^\Sigma)^l$$

$n = \max\{s \in \mathbb{Z}_{\geq 0} \mid \exists H: \text{closed subgp of } \Pi_n^l \text{ s.t. } H \cong \mathbb{Z}_l^{\oplus s}\}$

- $\text{Gr}(\Pi_n^l) \xrightarrow{\text{recon.}} n$

Proposition 3

Let $\mathfrak{a} \subset \text{Gr}(\Pi_n^l)$: abelian subalgebra/ \mathbb{Z}_l

isom to $\mathbb{Z}_l^{\oplus s}$ as a \mathbb{Z}_l -module. Then $s \leq n$.

(Lie alg. \mathfrak{L} is abelian $\stackrel{\text{def}}{\Leftrightarrow} \forall a, b \in \mathfrak{L} [a, b] = 0$)

(Proof) $n = 1$: $[a, b] = 0 \Rightarrow a, b$: linearly dependent

$n \geq 2$: consider

$$1 \rightarrow \text{Gr}(\Pi_{n/n-1}^l) \rightarrow \text{Gr}(\Pi_n^l) \rightarrow \text{Gr}(\Pi_{n-1}^l) \rightarrow 1$$

□

Theorem 4

$\exists \mathfrak{a} \subset \text{Gr}(\Pi_n^l)$: abelian subalg. isom to $\mathbb{Z}_l^{\oplus n}$.

(Proof)

If $g > 0$, then $[X_1^{(h)}, X_1^{(k)}] = 0$ for $1 \leq h < k \leq n$.

If $r > 0$, then write $A^{(k)} := Z_r^{(k)} + \sum_{h=1}^{k-1} W_h^{(k)}$.

Then $[A^{(h)}, A^{(k)}] = 0$ for $1 \leq h < k \leq n$. □

Algorithm:

$n = \max\{s \in \mathbb{Z}_{\geq 0} \mid \exists \mathfrak{a} : \text{abelian subalg. of } \text{Gr}(\Pi_n^l)$
s.t. $\mathfrak{a} \cong \mathbb{Z}_l^{\oplus s}\}$

- $\Pi_n^\Sigma \xrightarrow{\text{recon.}} \text{GFS}_1(\Pi_n^\Sigma)$

Theorem 5

Let $H \subset \Pi_n^\Sigma$: normal closed subgroup such that Π_n^Σ / H is isom to a surface group which is not free of rank 2.

Then $\exists N \in \text{GFS}_1(\Pi_n^\Sigma)$ s.t. $N \subset H$.

In particular, $(g, r) \notin \{(0, 3), (1, 1)\} \Leftrightarrow \exists H$ as above.

Proposition 6

$\Pi_n^{l,\text{ab}}$: free \mathbb{Z}_l -module,

$$\text{rank}_{\mathbb{Z}_l} \Pi_n^{l,\text{ab}} = \begin{cases} n(r - 1) + n(n - 1)/2 & (g = 0) \\ 2gn & (r = 0) \\ n(2g + r - 1) & (g, r > 0). \end{cases}$$

In particular, $(g, r) = (1, 1) \Leftrightarrow \text{rank}_{\mathbb{Z}_l} \Pi_n^{l,\text{ab}} = 2n.$

Algorithm:

$$S := \{H \stackrel{\text{cl.}}{\triangleleft} \Pi_n^\Sigma \mid \Pi_n^\Sigma / H : \text{surf. gp, not free of rank 2}\}$$

If $S \neq \emptyset$ ($\Leftrightarrow (g, r) \notin \{(0, 3), (1, 1)\}$), then

GFS₁(Π_n^Σ): minimal elements of S .

$S = \emptyset \cdots$ we omit the details

$$((g, r) = (1, 1) \Leftrightarrow \text{rank}_{\mathbb{Z}_l} \Pi_n^{l, \text{ab}} = 2n,$$

construct Lie alg. isom to $\text{Gr}(\Pi_n^l)$ ($\cong \text{Gr}^{\text{lcs}}(\Pi_n^l)$),

consider $\Pi_n^\Sigma \rightarrow \Pi_n^{l, \text{ab}} \otimes_{\mathbb{Z}_l} \mathbb{Q}_l, \dots$)

- $\text{Gr}(\Pi_n^l) \xrightarrow{\text{recon.}} \text{GFI}_1(\text{Gr}(\Pi_n^l))$

Theorem 7

We can classify all (not necessarily graded) surjective homomorphisms/ \mathbb{Z}_l from $\text{Gr}(\Pi_n^l)$ to a surface algebra/ \mathbb{Z}_l .

(Proof: direct calculation)

Corollary 8

Let $\mathfrak{i} \subset \text{Gr}(\Pi_n^l)$: an ideal/ \mathbb{Z}_l (not necessarily graded).

Then $\mathfrak{i} \in \text{GFI}_1(\text{Gr}(\Pi_n^l)) \Leftrightarrow \text{Gr}(\Pi_n^l)/\mathfrak{i} \cong \text{Gr}(\Pi_1^l)$.

Corollary 9

$\text{Gr}(\Pi_1^l)$: unique surface algebra \mathfrak{s} (up to isom) s.t.

- $\text{Gr}(\Pi_n^l) \xrightarrow{\exists} \mathfrak{s}$,
- for any surface alg. \mathfrak{h} , if $\text{Gr}(\Pi_n^l) \xrightarrow{\exists} \mathfrak{h}$, then $\mathfrak{s} \xrightarrow{\exists} \mathfrak{h}$.

Algorithm:

(isom class of) $\text{Gr}(\Pi_1^l)$:

(unique) surface algebra \mathfrak{s} satisfying

- $\text{Gr}(\Pi_n^l) \xrightarrow{\exists} \mathfrak{s}$,
- for any surface alg. \mathfrak{h} , if $\text{Gr}(\Pi_n^l) \xrightarrow{\exists} \mathfrak{h}$, then $\mathfrak{s} \xrightarrow{\exists} \mathfrak{h}$.

$$\text{GFI}_1(\text{Gr}(\Pi_n^l)) = \{\mathfrak{i}^{\text{ideal}/\mathbb{Z}_l} \subset \text{Gr}(\Pi_n^l) \mid \text{Gr}(\Pi_n^l)/\mathfrak{i} \cong \text{Gr}(\Pi_1^l)\}$$

- $\Pi_n^\Sigma \xrightarrow{\text{recon.}} \text{GFS}_m(\Pi_n^\Sigma), \text{ Gr}(\Pi_n^l) \xrightarrow{\text{recon.}} \text{GFI}_m(\text{Gr}(\Pi_n^l))$

Algorithm:

$$\text{GFS}_m(\Pi_n^\Sigma) = \bigcup_{H \in \text{GFS}_{m-1}(\Pi_n^\Sigma)} \text{GFS}_1(H)$$

$$\text{GFI}_m(\text{Gr}(\Pi_n^l)) = \bigcup_{\mathfrak{i} \in \text{GFI}_{m-1}(\text{Gr}(\Pi_n^l))} \text{GFI}_1(\mathfrak{i})$$

(We set $\text{GFS}_1(\{1\}) := \emptyset, \text{ GFI}_1(\{0\}) := \emptyset.$)

Remark

- We do not use “ n ” for $\text{Gr}(\Pi_n^l) \xrightarrow{\text{recon.}} \text{GFI}_m(\text{Gr}(\Pi_n^l))$.
So we can obtain other algorithms for $\text{Gr}(\Pi_n^l) \xrightarrow{\text{recon.}} n$.

For example, n : unique nonnegative integer m s.t.

$$\text{GFI}_m(\text{Gr}(\Pi_n^l)) = \{\{0\}\}.$$

- We can reconstruct $\text{GFS}_1(\Pi_n^\Sigma)$ from Π_n^Σ in a similar manner to $\text{Gr}(\Pi_n^l) \xrightarrow{\text{recon.}} \text{GFI}_1(\text{Gr}(\Pi_n^l))$.

- $\Pi_n^\Sigma \xrightarrow{\text{recon.}} (g, r)$ (if $n \geq 2$)

Proposition 10

- $\text{rank}_{\mathbb{Z}_l} \Pi_1^{l,\text{ab}} = 2g + \max\{r - 1, 0\}$
- Π_1^l : free $\Leftrightarrow r > 0$

Theorem 11 ([CbTpI] Lemma 1.3(iv))

Suppose: $r > 0$.

Consider the natural action $\Pi_1^l \rightarrow \text{Aut}(\Pi_{2/1}^{l,\text{ab}})$

determined by $1 \rightarrow \Pi_{2/1}^l \rightarrow \Pi_2^l \rightarrow \Pi_1^l \rightarrow 1$.

Then $\ker(\Pi_1^l \rightarrow \text{Aut}(\Pi_{2/1}^{l,\text{ab}})) = \ker(\Pi_1^l \twoheadrightarrow \pi_1(X^{\text{cpt}})^{l,\text{ab}})$.

Algorithm(1/2): (Suppose: $n \geq 2$.)

Take $H \in \text{GFS}_{n-2}(\Pi_n^l)$, $N \in \text{GFS}_1(H)$.

$(\Pi_2^l \cong \Pi_n^l/H, \quad \Pi_1^l \cong H/N)$

If H/N : not free ($\Leftrightarrow r = 0$), then

$$g = \frac{1}{2} \operatorname{rank}_{\mathbb{Z}_l}(H/N)^{\text{ab}}, \quad r = 0.$$

Algorithm(2/2):

If H/N : free, then, since

$$\ker(\Pi_1^l \twoheadrightarrow \pi_1(X^{\text{cpt}})^{l,\text{ab}}) = \ker(H/N \rightarrow \text{Aut}(N^{\text{ab}})),$$

$$\ker(\Pi_1^{l,\text{ab}} \twoheadrightarrow \pi_1(X^{\text{cpt}})^{l,\text{ab}})$$

$$= \text{Im}(\ker(H/N \rightarrow \text{Aut}(N^{\text{ab}})) \rightarrow (H/N)^{\text{ab}}).$$

$$r = \text{rank}_{\mathbb{Z}_l}(\ker(\Pi_1^{l,\text{ab}} \twoheadrightarrow \pi_1(X^{\text{cpt}})^{l,\text{ab}})) + 1$$

$$g = \frac{1}{2}(\text{rank}_{\mathbb{Z}_l}(H/N)^{\text{ab}} - r + 1)$$

$$\cdot \text{Gr}(\Pi_n^l) \xrightarrow{\text{recon.}} (g, r)$$

Write $\text{Gr}(\Pi_n^l)(2) := \bigoplus_{m \geq 2} \text{Gr}^m(\Pi_n^l),$

$\text{Gr}(\Pi_n^l)[1] := \text{Gr}(\Pi_n^l),$

$\text{Gr}(\Pi_n^l)[m] := [\text{Gr}(\Pi_n^l), \text{Gr}(\Pi_n^l)[m-1]].$

Theorem 12

Suppose: $n \geq 2$ and $g > 0$.

Let i, j : distinct elements of $\text{GFI}_{n-1}(\text{Gr}(\Pi_n^l))$.

Then $i \cap \text{Gr}(\Pi_n^l)(2) = \{a \in i \mid \forall b \in j \quad [a, b] \in \text{Gr}(\Pi_n^l)[3]\}.$

(Proof: if $j \neq j'$ and $k \neq k'$,

$$[Z_j^{(k)}, X_i^{(k')}] = [Z_j^{(k)}, Y_i^{(k')}] = [Z_j^{(k)}, Z_{j'}^{(k')}] = 0,$$

$$[Z_j^{(k)}, W_h^{(k')}] = [Z_j^{(k)}, [X_1^{(h)}, Y_1^{(k')}]] \in \text{Gr}(\Pi_n^l)[3],$$

$$[Z_j^{(k)}, Z_j^{(k')}] = -[Z_j^{(k)}, W_k^{(k')}] \in \text{Gr}(\Pi_n^l)[3].)$$

Proposition 13

- $\text{rank}_{\mathbb{Z}_l}(\text{Gr}(\Pi_1^l)/\text{Gr}(\Pi_1^l)[2]) = 2g + \max\{r - 1, 0\}$
- $\text{Gr}(\Pi_1^l)$: free $\Leftrightarrow r > 0$

It suffices to determine whether $g = 0$ or not.

Idea: If $g = 0$, there are many homomorphisms determined as follows:

$X \hookrightarrow Y$: of type $(0, 3)$,

$p : Y_n \rightarrow Y$: a gen. proj. which is not a proj.,

$\text{Gr}(\Pi_n^l) \twoheadrightarrow \text{Gr}(\pi_1(Y)^l)$: determined by $\frac{X_n \hookrightarrow Y_n \xrightarrow{p} Y}{\text{(exceptional mor.)}}$

Theorem 14

Write $E := \{\mathfrak{i} \stackrel{\text{ideal}/\mathbb{Z}_l}{\subset} \text{Gr}(\Pi_n^l) \mid \text{Gr}(\Pi_n^l)/\mathfrak{i} : \text{free of rank } 2,$
 $\forall \mathfrak{j} \in \text{GFI}_1(\text{Gr}(\Pi_n^l)) \ \mathfrak{j} \not\subset \mathfrak{i}\}.$

$$\text{Then } \#E = \begin{cases} \binom{r}{2} \cdot \binom{n}{2} + r \cdot \binom{n}{3} + \binom{n}{4} & (g = 0, r \neq 3) \\ \binom{n}{2} & (g = 1, r \neq 1) \\ 0 & (\text{else}), \end{cases}$$

$$\#\text{GFI}_1(\text{Gr}(\Pi_n^l)) = \begin{cases} \binom{n+3}{4} & ((g, r) = (0, 3)) \\ \binom{n+1}{2} & ((g, r) = (1, 1)) \\ n & (\text{else}). \end{cases}$$

Algorithm(1/2): (Suppose: $n \geq 2$.)

Write $E := \{i \overset{\text{ideal}/\mathbb{Z}_l}{\subset} \text{Gr}(\Pi_n^l) \mid \text{Gr}(\Pi_n^l)/i : \text{free of rank } 2,$
 $\forall j \in \text{GFI}_1(\text{Gr}(\Pi_n^l)) \ j \not\subset i\}.$

(Since free Lie algebra/ \mathbb{Z}_l of rank 2 is a surface algebra,
we can reconstruct E by Theorem 7.)

If $\#E \leq \binom{n}{2}$ and $\#\text{GFI}_1(\text{Gr}(\Pi_n^l)) < \binom{n+3}{4}$ ($\Leftrightarrow g > 0$),
then take i, j : distinct elements of $\text{GFI}_{n-1}(\text{Gr}(\Pi_n^l))$.

$$i \cap \text{Gr}(\Pi_n^l)(2) = \{a \in i \mid \forall b \in j \ [a, b] \in \text{Gr}(\Pi_n^l)[3]\}$$

$$g = \frac{1}{2} \text{rank}_{\mathbb{Z}_l}(i / i \cap \text{Gr}(\Pi_n^l)(2))$$

Algorithm(2/2):

If $\#E > \binom{n}{2}$ or $\# \text{GFI}_1(\text{Gr}(\Pi_n^l)) = \binom{n+3}{4}$,

then $g = 0$.

If $\text{Gr}(\Pi_1^l)$: free ($\Leftrightarrow r > 0$), then

$$r = \text{rank}_{\mathbb{Z}_l}(\text{Gr}(\Pi_1^l)/\text{Gr}(\Pi_1^l)[2]) + 1 - 2g.$$

If $\text{Gr}(\Pi_1^l)$: not free, then

$$r = 0.$$